

Request for SMS and E-mail Alerts from Stock Exchange/s & Stock Brokers

(Reference to SEBI circular Ref. No. CIR/MIRSD/15/2011 dated August 02, 2011)

To,

Nuvama Wealth and Investment Limited

 801 - 804, Wing A, Building No. 3, Inspire BKC, G Block,
 BandraKurla Complex, Bandra East, Mumbai – 400 051.

This document and the details that you furnish will allow us and the exchanges to send you all relevant information of your account and transactions by SMS and e-mail.

Dear Sir,

Sub: SMS and E-mail alerts from Stock Exchange/s & Stock Brokers, Depository Participant for my Trading Account No. _____ and Demat account _____ with you

I/We request you to activate the facility of SMS and Email alerts from Stock Exchanges/Stock Brokers, Depository Participant for transactions in the above mentioned trading and demat account.

☒ YES I/We wish to receive alerts by SMS/EMAIL

☐ By SMS

☐ By EMAIL

☒ By SMS & EMAIL*

* If opted for both SMS and Email facility, it is mandatory to give both the Mobile number and Email ID.

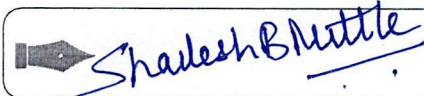
I/We wish to receive alerts for my transactions from the Stock Exchanges/Nuvama on the below given mobile no/email ID. Kindly note that if the mobile no/email ID mentioned here is different from the one provided in my KYC, then this mobile no/Email ID shall prevail and I/We give my consent to details being send to this mobile number/email ID. Kindly update your records accordingly.

Email ID	Mobile Number	Your Relationship with the owner of the Email ID & Mobile number	Name of Family Member / Authorised Representative to whom the mobile number & Email ID belongs
shailesh.mittle@gmail.com	9820191286	<input checked="" type="checkbox"/> Self <input type="checkbox"/> Spouse <input type="checkbox"/> Dependent Children <input type="checkbox"/> Dependent Parents <input type="checkbox"/> Authorised Representative	

In case mobile number/Email ID of a Family Member / Authorised Representative is provided, I / we give my consent to make available my /our trade information on the mobile number / email Id of my family member. ('Family' would mean self, spouse, dependent children and dependent parents)

Client Name

Shailesh Mittle



Signature of Client